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3868 1108P

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Hans-Rainer HOFFMANN et al Conf.:  
Int'l. Appl. No.: PCT/EP00/07897  
Appl. No. 10/069,399 Group: UNASSIGNED  
Filed: February 26, 2002 Examiner: UNASSIGNED  
For: RAPIDLY DECOMPOSING CHITOSAN-BASED  
PELLETS

L E T T E R

BOX PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

May 17, 2002

Sir:

Applicant wishes to advise the United States Patent and Trademark Office that a Declaration was filed on March 27, 2002, to perfect Applicant's filing requirements.

The filing requirements were perfected prior to the receipt of the Notification of Missing Requirements (371 Formalities Letter), a copy of which is attached hereto.

As evidence of Applicant's previous submission of a Declaration in connection with the present application, Applicant encloses a copy of the Declaration and Power of Attorney, filed

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Appl. No. 10/069,399

March 27, 2002, and a copy of the postcard indicating receipt of the Declaration by the U.S.P.T.O.


If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
James M. Slattery, #28,380

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

  
JMS/REG/mlr  
3868-0108P

Attachments: Copy of 371 Formalities Letter  
Copy of Postcard Receipt  
Copy of Declaration and Power of Attorney

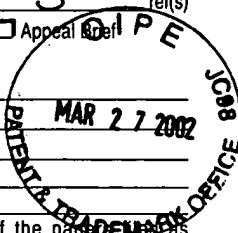
(Rev. 12/03/01)

Papers Filed herewith on: 3/26/02  
DOCKET NO.: 3868-DIBSP ATTY.: JMS/REB  
APPLICANT(S): Hans-Rainer HOFMANN et al.  
APPLN. NO: 10/069,399 FILED: 2/26/02  
PAT NO.: \_\_\_\_\_

- ☐ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
☐ Filing Under 37 CFR 1.114(RCE)  
☐ Specification Consisting of: \_\_\_\_\_ pages  
☐ Combined Declaration & Power of Attorney  
☐ Assignment / Cover Letter  
☐ Letter to Official Draftsman  
☐ Drawings \_\_\_\_\_ Sheets ☐ Formal ☐ Informal ☐ Red-Ink  
☒ Completion of Filing Requirements, PCT/DO/EO/005  
or Formalities Letter and Executed Declaration  
☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☒ Amendment: Preliminary  
☒ Transmittal Ltr ☐ Large Entity ☐ Small Entity  
☐ Response  
☒ Information Disc. Stmt. PTO-1449(s) 3 ref(s)  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
☐ FEES: \_\_\_\_\_  
☐ Letter: \_\_\_\_\_  
☐ Other: \_\_\_\_\_

Receipt is hereby acknowledged of the papers as indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Due Date: \_\_\_\_\_  
Handcarry: \_\_\_\_\_

DOCKET NO. 3868-DIBSP



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PATENT  
3868-0108P

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Hans-Rainer HOFFMANN et al.  
INTERNATIONAL APPL. NO.: PCT/EP00/07897  
APPL. NO.: 10/069,399  
Conf.:  
FILED: February 26, 2002  
FOR: RAPIDLY DECOMPOSING CHITOSAN-  
BASED PELLETS

**COPY**

LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR  
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

BOX PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

March 27, 2002

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

- ☒ Executed Declaration and Power of Attorney.
- ☒ Original      ☐ Photocopy
- ☐ The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on February 26, 2002, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 3868-0108P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 10/069,399 filed February 26, 2002 entitled "RAPIDLY DISINTEGRATING PELLETS BASED ON CHITOSAN."

☐ English language specification, claims, and Abstract with ( ) sheets of drawings.

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

☐ Attached is a copy of the Notification of Missing Requirements (Formalities Letter).

☐ \_\_\_\_\_  
\_\_\_\_\_

☐ No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Formalities Letter). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☐ Applicant(s) hereby respectfully petitions for ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

Appl. No. 10/069,399

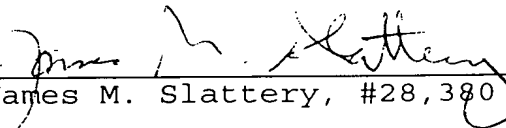
The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on February 26, 2002.

- ☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.
- ☐ A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.
- ☐ Check(s) in the amount of \$0.00 to cover the above-mentioned fees is/are enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
James M. Slattery, #28,380

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Falls Church, VA 22040-0747  
(703) 205-8000

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3868-0108P

Attachments

(Rev. 12/03/01)